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Influence of Conditioning Period on the Displacement Response of Nonlinear Single-Degree-of-Freedom Structural Systems

Jonathan P. Williams *Lehigh University*

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Influence of Conditioning Period on the Displacement Response of Nonlinear Single-Degree-of-Freedom Structural Systems

by

Jonathan P. Williams, P.E.

A Thesis

Presented to the Graduate and Research Committee

of Lehigh University

in Candidacy for the Degree of

Master of Science

in

Structural Engineering

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Jonathan P. Williams

This thesis is accepted and approved in partial fulfillment of the requirements for the Master of Science.

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Date

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Abstract

This research explores the use of the Conditional Mean Spectrum for selecting and conditioning ground motion records for the seismic analysis of nonlinear systems with an emphasis on self-centering systems. Self-centering systems are an innovative type of seismic lateral force-resisting system which can reduce the post-earthquake damage sustained by building structures. Self-centering systems may experience greater lateral displacements than conventional systems, resulting in more significant period elongation. The period elongation may cause self-centering systems to be more sensitive to ground motions selected and conditioned on periods other than the initial natural period. Specifically, this study focuses on the influence of conditioning period with respect to the displacement-ductility demands on self-centering and conventional systems. During the study, nonlinear response spectra for 25 different single-degree-offreedom models were evaluated over a range of 60 natural periods. Conditional Mean Spectra were constructed for ten logarithmically spaced conditioning periods. Twenty ground motions were selected and amplitude scaled to match each of these ten Conditional Mean Spectra.

 The results show that conditioning periods greater than the initial natural period of the structure produced the largest ductility demands. A conditioning period ratio was defined as the ratio of the conditioning period which produces the largest ductility demand to the initial natural period. The results show that the conditioning period ratio is strongly dependent on the strength reduction factor and energy dissipation, and approximately independent of the initial natural period. The effects of variation of the post-yielding stiffness are not considered. For a given strength reduction factor and energy dissipation, the conditioning period ratio appears to be constant. Conventional bilinear elasto-plastic systems exhibited a period ratio close to unity for strength

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reduction factors greater than 2. Although the results suggest a relationship between the conditioning period and the nonlinear characteristics of self-centering and conventional systems, further study is required due to the limited number of conditioning periods considered in the present study.

Introduction

The seismic hazard for the site of a structure is frequently quantified based upon a pseudo-acceleration response spectrum (spectral acceleration) with a certain probability of exceedance. In current design provisions, the spectral acceleration used for design is based on a Uniform Seismic Hazard Spectrum (UHS). The UHS identifies the pseudoacceleration at each period with a given probability of exceedance in a specified period of time. The UHS thus provides the spectral acceleration with uniform probability over the range of periods; however it does not represent the response to individual ground motion records.

Response history analyses and site specific ground motion procedures are important tools for analysis of innovative earthquake-resistant structural systems. Selecting and conditioning ground motions (i.e., scaling) to represent a given level of seismic hazard for a given site and structure can lead to more accurately predicting the response for the stated level of seismic hazard. Correctly selecting and conditioning records is critical to the accurate analysis of innovative systems.

As noted, the UHS provides the pseudo-acceleration response with a constant probability of exceedance for all periods. The pseudo-acceleration response to an individual earthquake record is not consistent with the UHS at every period. Typically the pseudo-acceleration response spectrum for an individual ground motion has peaks only at certain periods. Therefore a UHS may be an overly conservative target spectrum for selecting and conditioning ground motions for a given site and structure. Baker [1] begins to address this concern by proposing the Conditional Mean Spectrum (CMS) as an alternative to the UHS for ground motion selection and conditioning. The CMS has a similar value as the UHS at a specified period of interest, denoted as the "conditioning period", while trending below the UHS and toward the median spectrum away from this period [1]. Crucial to properly using the CMS as the basis for selecting ground motions is the selection of an appropriate conditioning period. The current study aims to assess the effect of different conditioning periods on the nonlinear response of single-degree-offreedom (SDOF) structures. In particular, the study focuses on "self-centering" SDOF structures.

Self-centering structures are a special class of earthquake-resistant systems that aim to reduce post-earthquake structural damage in the form of residual drift [2]. A selfcentering system typically dissipates less energy than a bilinear elasto-plastic system, however, a self-centering system with comparable initial period can be identified that has equal or better performance than bilinear elasto-plastic system by varying the selfcentering system parameters [3] [4]. Past research [5] found when selecting ground motions that are consistent with a target CMS, in some cases the ground motions based on a CMS with a conditioning period larger than the initial natural period of the structure produced greater displacement demands. While others have investigated the effect of the conditioning period, they have focused on ways to broaden the CMS, or the effect the

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conditioning period has on structural reliability [6] [7]. The primary focus of the current study is to examine the effect of the conditioning period for selecting ground motion records as it relates to the displacement ductility demands for self-centering systems.

BACKGROUND

SEISMIC HAZARD ANALYSIS

The seismic hazard can be quantified using seismic intensity measures such as the peak ground acceleration, peak ground velocity, and pseudo-acceleration. The United States Geological Survey (USGS) defines the seismic hazard as the level of ground shaking or motion for a given probability of exceedance in a given time period, and further identifies this time period to be 50 years [8]. Seismic hazard analysis (SHA) determines the intensity of earthquake induced ground motion at a particular site. This analysis aggregates the effects of seismic events of various magnitudes from earthquake sources at different distances from a site, while taking different earthquake sources into account [5]. The seismic hazard maps developed by the USGS are based on detailed probabilistic seismic hazard analyses for the entire United States [9]. Maps have been developed for the peak ground acceleration and for the pseudo-acceleration (or spectral acceleration) corresponding to natural periods of 0.2 seconds and 1.0 seconds [8].

GROUND MOTION PREDICTION EQUATIONS

Understanding ground motions is fundamental to gaining insight into the response of a structure to an earthquake [9]. Crucial to this understanding is the ability to estimate a response quantity based on the geological and seismological characteristics of a site. A Ground Motion Prediction Equation (GMPE) is an empirically derived function

that provides a probabilistic estimate of a seismic intensity measure at a particular site with given seismic characteristics. Common input parameters may include magnitude, distance, and fault mechanism. GMPEs are usually logarithmic, and are expressed as follows:

$$
\ln Y = C_1 + C_2 M_{seis} - C_3 \ln R_{seis} - C_4 R_{seis} + \varepsilon_{seis}
$$
\nEQUATION1

Here, *Y* is the intensity measure; while M_{seis} , R_{seis} , and ε_{seis} are the magnitude, distance, and random error in the intensity respectively. In Equation 1, C_1 , C_2 , C_3 , and C_4 denote the model parameters. GMPEs are developed based on historical data for a specific region [9], and are required in order to conduct a Probabilistic Seismic Hazard Analysis (PSHA). For the western North American region, the USGS has used four GMPEs, which are named according to the researchers which developed them; Abrahamson & Silva, Boore et al, Sadigh et al, and Campbell & Bozorgnia [9] [8].

Each principal input parameter required by a GMPE describes a characteristic of the site specific seismic hazard. Earthquake magnitude is used to characterize the severity of an earthquake at the source (e.g. at a location on a known fault). The "moment magnitude" is related to the energy released by an earthquake and is often used as a GMPE input parameter. The distance from the site to the source is an important GMPE input parameter, which characterizes the reduction of ground motion intensity as seismic waves radiate away from the earthquake source. Faults may be considered as either point sources or finite sources. Large earthquakes are better characterized using finite sources because they have greater rupture areas that are not well represented by point sources. Two common distance measures for finite sources are the Joyner-Boore distance and the closest distance to the fault rupture plane. The Joyner Boore distance is the closest

horizontal distance to the fault rupture plane and is easy to estimate for future earthquakes [9]. The closest distance to the rupture plane is not as easy to estimate, but may be inferred from properties of the fault mechanism [9]. It has been noted by past research that inelastic displacement demands are not sensitive to the distance parameter in GMPEs [10]. Site soil conditions are critical in evaluating the seismic hazard since soft soils tend to amplify the ground shaking. Current design standards permit site soil conditions to be considered through the use of GMPEs that are representative of local and regional geology [11]. Local soil conditions can be characterized by various parameters, however, the shear-wave velocity and soil depth to bedrock are preferred because they are quantitative descriptions of subsurface conditions [9]. The site classes described in the International Building Code [12], and by the NEHRP (National Earthquake Hazard Reduction Program) Recommended Seismic Provisions for New Buildings and Other Structures [13] are based on the average shear wave velocity in the top 30 meters of soil, denoted as V_{s30} [9].

PROBABILISTIC SEISMIC HAZARD ANALYSIS

In earthquake engineering, response history analyses are usually used for three different assessments, namely: scenario-based, intensity-based, and risk-based assessments. Often the earthquake hazard is characterized by a ground motion intensity measure with an annual rate of exceedance, or a return period. Defining the hazard in this way is referred to as risk-based assessment [14]. Probabilistic seismic hazard analysis is a risk-based assessment and considered to be the most comprehensive of the methods [15].

UNIFORM HAZARD SPECTRA

Response spectra are used to describe the response of SDOF structures as a function of the natural period, T_n . Elastic pseudo-acceleration response spectra (spectral

acceleration) are usually prescribed by design standards and codes [16]. In critical cases, the spectral accelerations are determined by a site specific seismic hazard analysis [16]. The design spectra in current building codes are based on and similar to a UHS. A UHS identifies spectral values, often the spectral accelerations, which have a uniform probability of exceedance (POE) over a range of periods. For example the USGS UHS with 2% POE in 50 years is similar to the Maximum Considered Earthquake outlined in the American Society of Civil Engineers (ASCE) Minimum Design Loads For Buildings and Other Structures (ASCE 7-10) [1] [11]. For a given site, various magnitudes and distances contribute to the seismic hazard at the site. The UHS is an upper bound or envelope of the structural response for these various magnitudes and distances, and does not represent the response across the range of periods for any specific ground motion. Some consider the traditional UHS to be overly conservative as a target spectrum for selecting a set of ground motions to represent the seismic hazard for a given site and structure, arguing that the spectral accelerations at different T_n in the UHS are caused by different earthquake events [1]. In the present study, the UHS and median spectra were calculated for a sample site, an example of which can be seen in Figure 1. The study focused on selecting ground motions that are consistent with several different target CMS.

CONDITIONAL MEAN SPECTRUM

Prior research has investigated the Conditional Mean Spectrum (CMS) for use in selecting ground motion records. The CMS, as defined by Baker [1], provides a target spectral acceleration value (S_a) for each T_n conditional on the S_a value at a specified conditioning period, T_{cms} . Baker asserts the CMS is easier to compile than the UHS and only requires existing GMPEs, PSHA results, and two other equations [1]. When constructing the CMS based on PSHA results, mean values of M_{seis} , R_{seis} , and ε_{seis} from

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FIGURE 1 – Comparison of different response spectra

the disaggregation are used to obtain the target value of S_a at T_n . The principal advantage of the CMS is the consistency between the PSHA and the ground motion selection [1]. As shown in Figure 1 and mentioned earlier, the CMS has an S_a value that is similar to the UHS at T_{cms} , and trends below the UHS and toward the median spectrum at T_n away from T_{cms} . This shape of the CMS represents the average shape of response spectra associated with earthquake input (magnitude and distance) that produces the target value of S_a at the specified T_{cms} , and ground motions consistent with the CMS are representative of the site specific seismic hazard as defined at T_{cms} [1] [7]. The CMS incorporates the effect of the epsilon parameter, ε , which represents the number of standard deviations an S_a value deviates from the predicted median value [1].

There are a few limitations of the CMS as proposed by Baker in [1]. One limitation was that it did not include the variability of spectra for a given T_{cms} [17] [18]; however, more recent work has focused on selecting a set ground motions where the mean and variance of the set match a target spectrum [19], such as the CMS, and the corresponding conditional variance [17]. Also, Baker explicitly notes that the CMS should be constructed and evaluated for all periods of interest. In the case of nonlinear systems, multiple CMS should be calculated for a range of T_{cms} [1]. There is a tradeoff between the two approaches. The UHS is more conservative but also more convenient; the CMS is not as conservative but requires additional analyses when multiple CMS are used [1]. If the response of a nonlinear structure is primarily driven by an earthquake input at a period other than T_{cms} , the ground motions selected for a CMS may produce low and inappropriate responses [1]. Multiple CMS should be considered if a multidegree-of-freedom structure is sensitive to the response at various modes or if the T_n value to be used as T_{cms} is uncertain. Although the CMS can provide a better representation of the hazard, it becomes cumbersome if $T_{cm,s}$ is unknown, or is not easy to estimate [1].

 T_{cms} should be selected to produce the largest response. For a linear elastic SDOF system, or near linear elastic SDOF system, T_{cms} should equal the period T_n of the system. Guidelines for selecting T_{cms} for nonlinear self-centering systems do not exist. Due to the highly nonlinear behavior of self-centering systems, these structures go through greater period elongation than conventional bilinear elasto-plastic systems, and their response may be especially sensitive to T_{cms} [20]. Other research has explored the problem of constructing multiple CMS and the effects of T_{cms} on nonlinear seismic response of structures; however, these studies have been limited and do not directly

investigate the effect of T_{cms} and the selection of ground motions consistent with the corresponding CMS on the response of self-centering systems [6].

GROUND MOTION SELECTION & CONDITIONING METHOD

Ground motions can be characterized by various parameters depending on the application. One common application is nonlinear response history analyses. The significant variations between different ground motion records are due to many factors including magnitude, distance, and fault mechanism [9], as well as inherent randomness. Ground motions are often selected based on the response spectrum value at the fundamental natural period of a structure, as it is the period corresponding to the largest response for a linear elastic system, and is expected to be the period of largest response for a nonlinear system [5].

Individual ground motions are often selected so that their pseudo-acceleration response spectrum matches a target response spectrum at a specified T_n or over a specified range of periods. In practice ASCE 7-10 requires the average response spectrum for a set of ground motions selected for response history analyses to be not less than the design spectrum for periods ranging from 0.2 T_n to 1.5 T_n . To select ground motions with spectra that match the CMS, a period range should be identified [1]. The procedures by which appropriate ground motions are identified and conditioned, or scaled, should take into account the potential for nonlinear structural response [11].

The provisions of ASCE 7-10 for ground motion selection require a period range of $0.2T_n$ to 1.5 T_n to be considered, however, for highly nonlinear structures the response may be sensitive to ground motion components at periods greater than 1.5 T_n [11]. Therefore, ASCE requires ground motions used in response history analyses be selected from events having magnitude, distances, and sources appropriate for the site [11] [21].

Where a set of seven or more ground motions are used in analysis, the mean nonlinear response can be taken as the structural response, otherwise the maximum nonlinear response should be used [11].

The Sum of the Squared Errors (SSE) is an effective method for determining how well the spectrum for a ground motion matches a target spectrum [1]. The SSE can be calculated for each individual ground motion under consideration and the records with the smallest SSE are those that best match the target spectrum, in this case, the CMS [1].

Buildings designed based on amplitude-scaled ground motions have been shown to be comparable to those based on other conditioning methods such as, spectral matching [22]. A common and straightforward method of ground motion conditioning is to scale each record so the response spectrum matches the target spectrum at the conditioning period [1] [14].

ASCE 7-10 requires a ground motion record to be scaled so the corresponding response spectrum approximately matches the target spectrum over the period range [11]. Another scaling method which is consistent with the requirements of ASCE 7-10 is to scale the ground motion so the average response spectrum over the period range matches the average of the target spectrum over the same period range [11]. Scaling the ground motion so the response spectrum matches the CMS at the conditioning period does not significantly impact the consistency of the ground motion with the CMS, so Baker recommends this method since it is the simpler method [1].

BACKGROUND ON SELF-CENTERING SYSTEMS

Self-centering (SC) systems are a new type of lateral force-resisting system which has virtually no residual drift following a seismic event [2]. The appeal of these

systems is the potentially low level of post-earthquake structural damage allowing for immediate use of the structure following an earthquake. By definition, the lateral displacement response of a self-centering system oscillates around zero displacement, unlike the baseline offset of the displacement response that may occur for conventional bilinear elasto-plastic (BEP) systems. The development of SC systems poses new challenges to engineers. Response history analyses have shown that the softening and associated period elongation of SC systems limits the acceleration response; however, SC systems may have greater lateral displacement response amplitude compared to conventional systems [5] [20]. The displacement response of conventional systems can include permanent residual drift requiring expensive repairs [5] [20]. While the SC systems may have greater displacement and ductility response, they are expected to have relatively little residual drift or post-earthquake damage [20].

The lateral displacement demand for SC systems is often greater than for similar BEP systems [4] [20]. Self-centering systems with low levels of hysteretic energy dissipation typically exhibit large lateral displacement responses. The energy dissipation ratio, β, corresponds to the ratio of the area enclosed by the hysteresis loop of an SC system relative that of a BEP system with the same initial properties subjected to the same peak displacement [20]. The post-yielding stiffness ratio, α , is the ratio of the system lateral stiffness beyond the point of yield to the initial stiffness, as illustrated in Figure 2. Increasing β from 0 to 12.5% or more can reduce the displacement demands; however the equal displacement principle should not be applied to SC systems with small values of α and β. To prevent excessive displacements demands a β value of 12.5% is considered to be the minimum [5].

In general, the displacement ductility demand is strongly dependent on the period of the structure for short periods but is approximately period independent at long periods [20]. Ductility demand is the ratio of the maximum displacement of the system, $u₀$, to the yield displacement of the system, u_y , which are shown in Figure 2. The ductility demand for SC systems is controlled by the parameters α, β, and strength reduction factor, R. The ductility demands for self-centering systems have been shown to increase with increasing R values [20]. For a given R value, ductility demands similar to those of conventional systems can be achieved by varying the parameters α and β. Generally increasing α or β can reduce the ductility demand, however, increasing α is more effective for SC systems with large R values (low strength) than it is for SC systems with small R values (high strength) [5].

FIGURE 2 *– Force versus displacement diagram showing yield strength formulation based on elastic strength*

INDIVIDUAL RESPONSE SPECTRA

A response spectrum describes the peak response of an SDOF system, over a range of periods, subjected to a given ground motion [23] [9]. The response quantities

may be based on the elastic response, or the nonlinear (inelastic) response of SDOF models [9]. While elastic response spectra only require the natural period, T_n , and damping ratio, ζ ; nonlinear response spectra require a complete definition of the forcedeformation relationship [9]. The yield strength of the system, f_{γ} , is an important property in this relationship [20] (See Figure 2). The strength reduction factor, R, is defined as the ratio of the force associated with peak displacement of a structure if the structure were to remain elastic, f_{el} , relative to f_y as shown in Figure 2 [16]. In seismic design practice the yield strength of a structure, f_y , is often determined by reducing the elastic strength by a specified R value as follows [20] [2]:

$$
f_{y} = \frac{f_{el}}{R}
$$
 EQUATION 2

Low R (e.g., not greater than 2.0) values correspond to systems with high lateral strength, which exhibit similar behavior to linear elastic systems [20]. Many previous research studies of nonlinear response spectra determined the elastic strength and the corresponding yield strength for SDOF systems based on individual ground motion spectra. Seo and Sause [20] determined the yield strength from a smooth spectrum like those commonly used in seismic design provisions.

Current design standards expect structures to exhibit inelastic response during the design earthquake [20]. As conventional systems yield and move into the inelastic range, the system sustains structural damage and often permanent residual lateral displacements [20]. As noted previously SC systems are expected to have little permanent residual displacement.

In buildings, a significant amount of both structural and nonstructural damage from severe earthquakes is the result of lateral displacements [24]. Consequently displacement demand is a response quantity associated with damage, and is often used to evaluate structural performance [16]. For a given R value the ductility ratio, μ , is a convenient, normalized response parameter for nonlinear systems. μ is the ratio of the maximum nonlinear displacement to the yield displacement [2]. A ratio of 1.0 or less indicates the response has remained linear elastic [9]. The ductility ratio is expressed as follows:

$$
\mu = \frac{u_o}{u_y}
$$
 EQUATION 3

where u_0 and u_y are the peak displacement and yield displacement of the system, respectively [2]. Prior studies have shown the effect of α on μ is dependent upon T_n . Seo and Sause [20] found for periods less than 0.5 sec, a small increase in α can significantly reduce μ , while at periods longer than 0.5 sec the impact of α on μ is nearly independent of period [20]. Furthermore, it was found that increasing β reduces μ , and the effect is relatively uniform over the period range evaluated [20].

Analysis Methods

The seismological and geological properties of the site of a structure depend upon the physical location of a site, including the proximity to active faults. The seismic hazard depends on the site-to-source distance, fault type, site soil conditions, and other factors. As noted earlier, design standards often use approximate methods to characterize the seismic hazard, whereas the CMS is based on site-specific seismic hazard analysis procedures.

SITE SELECTION FOR STUDY

For the current study certain site characteristics are preferable. A site where similar events govern the hazard over the period range of interest helps to reduce the variability introduced by properties of the seismic event, which is beneficial since the focus of the study is on the effects of the conditioning period, T_{cms} , and not the seismic event. A site which has a strong peak in the CMS at each T_{cms} is desirable so trends in the results will be more readily observed. When reviewing potential sites for the study, it was observed that for sites where the hazard is governed by a single fault, the spectral acceleration often has less dispersion. Consequently, the UHS and the median spectrum (for a characteristic earthquake magnitude and distance) for the site are closer together over the period range of interest. For sites near multiple less active faults with one dominant fault, the CMS tends to have a stronger peak. Finally, a site with unique or uncommon characteristics is less desirable.

FIGURE 3 *– Earthquake Shaking Potential Map showing preliminary site locations*

Several preliminary sites were selected by reviewing shake maps and interactive fault maps published by the California Division of Mines & Geology (CDMG). The preliminary sites are shown on the shake map in Figure 3 which classifies regions by severity of the ground motion during an earthquake. These preliminary sites were selected because they have high potential for damaging ground motions and are in close proximity to active faults. An initial probabilistic seismic hazard analysis was conducted for each preliminary site using the USGS online tool [25]. Ultimately Site G, Emerald Hills, was identified as a site with the seismic hazard controlled by similar seismic events across the period range of interest, and was selected for the current study.

FIGURE 4 *–Fault map of sample site location used in the current study*

SITE SPECIFIC SEISMIC HAZARD

The initial probabilistic seismic hazard analysis was used to evaluate the contribution of each GMPE toward the total seismic hazard of the site, since only one GMPE is used to construct the CMS. The Campbell & Bozorgnia 2008 GMPE was selected for use in the remainder of the study based on its contribution to the overall

hazard, and its validity across the period range of interest. Additionally, this GMPE provides S_a values corresponding to an individual horizontal ground motion component, which is the seismic intensity measure used in the current study. Although updated versions of the Campbell & Bozorgnia model are available, the 2008 version was used for consistency with the work by Baker since the correlation function by Baker is based on data corresponding to the Campbell & Bozorgnia 2008 GMPE [1].

A probabilistic seismic hazard analysis was carried out using OpenSHA [26] analysis tools, specifically the Hazard Spectrum Calculator and Hazard Curve Calculator, for all available periods. OpenSHA can perform PSHA at only at only a few discrete periods. The discrete periods are shown in Table 1. For other conditioning periods, linear interpolation was used. The UHS was calculated for a 2% probability of exceedance in 50 years. The spectral acceleration from the UHS at each discrete period was then used to disaggregate the seismic hazard at each discrete period. The seismic hazard disaggregation at each discrete period is shown in Table 1 and Appendix I. At each discrete period, the following characteristic (i.e., mean) values for the site were obtained from the disaggregated hazard data: the mean earthquake magnitude, \bar{M}_{seis} , the mean site-to-source distance, \bar{R}_{seis} , and the mean epsilon, $\bar{\varepsilon}$, which is a measure of the difference between the spectral acceleration from the UHS (i.e., the hazard) at the period and the median spectral acceleration from the GMPE for the M_{seis} and R_{seis} . A larger $\bar{\varepsilon}$ will produce a CMS with a stronger peak.

CONSTRUCTING CONDITIONAL MEAN SPECTRUM FOR SITE

For each T_{cms} , a CMS was constructed following procedures outlined by Baker [1] using the \bar{M}_{seis} , \bar{R}_{seis} , and $\bar{\varepsilon}$, values obtained from the PSHA described above. As mentioned above, the PSHA was carried out at only the discrete periods listed in Table 1.

Ten conditioning periods, denoted T_{cms} , were selected with logarithmic spacing over the period range of interest, 0.5 seconds to 5.0 seconds, where $T_{cms} = 0.500$ s, 0.646s, 0.834s, 1.077s, 1.391s, 1.797s, 2.321s, 2.997s, 3.871s, and 5.000s. The values of \bar{M}_{seis} , \bar{R}_{seis} , and $\bar{\varepsilon}$ at T_{cms} were obtained by interpolating the data in Table 1.

\pmb{T}_n	S_a	\bar{M}_{sei	\bar{R}_{sei	$\bar{\pmb{\varepsilon}}$
0.01	0.900	7.5331	3.0266	1.3891
0.02	0.920	7.5331	3.0270	1.3900
0.03	1.000	7.5319	3.0397	1.4024
0.05	1.220	7.5250	3.1099	1.4113
0.08	1.463	7.4972	3.4076	1.4545
0.10	1.680	7.4735	3.6486	1.4877
0.15	1.940	7.4616	3.8243	1.5103
0.20	2.130	7.5092	3.4599	1.4547
0.25	2.140	7.5309	3.2442	1.4217
0.30	2.130	7.5383	3.1586	1.4086
0.40	2.100	7.5462	3.0262	1.3894
0.50	2.000	7.5889	3.0085	1.3870
0.75	1.620	7.6562	3.0363	1.3691
1.00	1.360	7.7039	3.0681	1.3452
1.50	1.000	7.7575	3.0989	1.3130
2.00	0.780	7.7844	3.1145	1.2860
3.00	0.520	7.8039	3.1245	1.2606
4.00	0.400	7.8124	3.1285	1.2534
5.00	0.350	7.8079	3.1400	1.2553
7.50	0.260	7.8416	3.1544	1.2077
10.00	0.210	7.8541	3.1694	1.1839

TABLE 1 – OpenSHA disaggregation for discrete periods of the sample site

The Conditional Selection Algorithm provided by Baker [27] constructs the CMS, selects corresponding ground motion records, and determines the scale factor for each ground motion record using a MatLab [28] script. This MatLab script utilizes the correlation function from Baker [1], and outputs the median spectrum (for the given M_{seis} , R_{seis}), standard deviation spectrum (for the given M_{seis} , R_{seis}), the CMS (for the given M_{seis} R_{seis} and ε), and the conditional standard deviation spectrum (for the given M_{seis} , R_{seis} , and ε , and using Baker's correlation function). The Conditional Selection

Algorithm provided by Baker [27] was adapted to function with other MatLab scripts developed specifically for the current study.

GROUND MOTION SELECTION & CONDITIONING

The Conditional Selection Algorithm provided by Baker uses the Monte-Carlo method to simulate random earthquake spectra. A set of simulated spectra are generated and then ground motions are selected which best match these spectra. In addition to matching the ground motions to the CMS the algorithm also matches the conditional standard deviation. The greedy improvement method of optimization is then used to refine the results [17].

The Conditional Selection Algorithm amplitude scales the individual ground motions so that the spectral acceleration at T_{cms} , matches the CMS value at T_{cms} . The ground motion scale factor, denoted SF_{GM} is determined using Equation 4 where Sa_{GM} and Sa_{CMS} are the spectral accelerations of the individual ground motion and target CMS respectively as shown in Figure 5.

$$
SF_{GM} = \frac{Sa_{CMS}(T_{CMS})}{Sa_{GM}(T_{CMS})}
$$
 EQUATION 4

In the current study, SF_{GM} is limited to be no more than a factor of four, as follows:

$$
0.25 \leq SF_{GM} \leq 4.0
$$

Figure 5 – Scaling method for individual ground motions to match CMS at T_{cms}

Using the Conditional Selection Algorithm, 10 sets of 20 ground motions were generated for each value of T_{cms} (given previously as 0.500s, 0.646s, 0.834s, 1.077s, 1.391s, 1.797s, 2.321s, 2.997s, 3.871s, and 5.000s), which are, as noted earlier, logarithmically spaced over the period range of interest of 0.5 seconds to 5.0 seconds.

Appendix II describes the ground motion sets in more detail. For each ground motion set, the appendix lists \overline{M}_{seis} , \overline{R}_{seis} , and $\overline{\varepsilon}$. For each ground motion in the set, the record sequence number (RSN), the magnitude, M_{seis} , the distance from the recording site to the source, R_{seis} , the horizontal ground motion component direction, "Component", and the ground motion scale factor (SF_{GM}) are listed. The RSN is a unique identifier for each ground motion record in the PEER NGA West 2 Database [29].

SDOF ANALYSIS

SDOF analyses were carried out for a variety of systems to evaluate the impact of the T_{cms} value used to construct a CMS and associated ground motion set, on the nonlinear structural response. Nonlinear dynamic analyses of the SDOF systems were conducted using the Newmark Beta Method with Newton Raphson Iteration. For simplicity, a unit mass was assumed for each SDOF system. The natural period of each SDOF system was calculated based on the initial stiffness. The natural periods range from 0.10 to 10.0 seconds and are linearly spaced. In addition, SDOF systems with natural periods equal to the values of T_{cms} were included in the study. The SDOF systems were assigned 5% initial stiffness proportional viscous damping to model the inherent damping of the system

 Figure 6 shows that the SDOF systems had various hysteretic force-deformation models, including linear elastic ('LIN'), bilinear elastic ('BEL'), bilinear elasto-plastic ('BEP'), and self-centering ('SC') models. All systems, except linear elastic, were assigned a post-yielding stiffness ratio, α , of 5%. Self-centering systems were given an energy dissipation ratio, β , of 12.5% or 25%.

The yield strength of each SDOF system was determined systematically. As noted earlier, the strength reduction factor, R, can be defined as the ratio of the elastic strength, f_{el} , relative to the yield strength, f_y , of the inelastic system.

$$
R = \frac{f_{el}}{f_y}
$$
 EQUATION 5

Alternatively f_y can be calculated from f_{el} , for a specified R value, as follows:

$$
f_{y} = \frac{f_{el}}{R}
$$
 EQUATION 6

FIGURE 6 *– Force Deformation Models for (a) Bilinear Elastic System, (b) Self-Centering System, (c) Bilinear Elasto-Plastic System*

where f_{el} is the spring force that develops in a linear elastic system (with the same natural period and 5% damping ratio) at the point of peak displacement based on the

median spectral acceleration for the ground motion set. Thus, f_{el} can be expressed as follows:

$$
f_{el} = Sa_{med} \cdot m \qquad \qquad \text{EQUATION 7}
$$

Since the SDOF systems have a unit mass, Equation 7 simplifies to:

$$
f_{el} = Sa_{med}
$$
 EQUATION 8

and f_y can then be determined as:

$$
f_y = \frac{Sa_{med}}{R}
$$
 EQUATION 9

Systems with R values of 2, 4, 6, 8, and 10 were studied. Nonlinear response histories were determined for each ground motion, each SDOF system $(T_n$ and force deformation model), and each R value using MatLab. The results were output in the format of nonlinear response spectra.

Results

The analyses generated 5,000 nonlinear response spectra, which correspond to 25 nonlinear SDOF systems subjected to 10 ground motion sets of 20 records each. Details of the ground motion sets used in the present study may be found in Appendix II. Examining the displacement demand of different systems it is evident that, due to its higher energy dissipation capacity, the BEP system generally has the lowest displacement demand, as shown in Figure 7. A BEP system can be thought of as an SC system having a β value of 100%. For a true SC system, β must be less than 50%. Considering an SC system with decreasing levels of energy dissipation, the special case of an SC system with a β value of zero corresponds to a BEL system. This is an important concept; a BEL system being an SC system with β = 0%, and a BEP system being an SC system with β

=100%. The response of an SC system is expected to be bounded by the response of the corresponding BEP and BEL systems.

Figure 7 shows the median nonlinear displacement response, \tilde{S}_d , for various SDOF systems, for the ground motion set conditioned on $T_{cms} = 2.321$ s. Figure 8 shows the nonlinear displacement response, S_d , for various SDOF systems for an individual ground motion of the set. The SDOF systems shown in these figures have $R = 6$. It can be observed that the response of the two SC systems, SC-12 and SC-25, are bounded by the response of the BEL and BEP systems over most of the period range. While the results for the individual ground motion exhibits some irregularities, the median spectra are generally consistent with this expected behavior.

Median Displacement Demand [Set JW0F02321]

FIGURE 7 *– Median displacement demand comparison of inelastic systems for suite of ground motions*

FIGURE 8 *– Displacement demand comparison of inelastic systems for individual ground motion*

An alternative to the nonlinear displacement, the ductility ratio μ , is an efficient normalized parameter for evaluating the performance of nonlinear systems. The analysis results shown in Figures 9, 10, and 11 revealed local maxima in μ at periods other than, T_{cms} confirming observations by others [5]. For systems with high lateral strength (e.g., $R = 2$) the peak μ occurs at, or near, T_{cms} for all systems. As the R value increases the local maxima can be observed at periods shorter than T_{cms} .

FIGURE 9 *– Median ductility ratio for Ground Motion Set JW0F00834 conditioned at Tcms = 0.834 s*

FIGURE 10 *– Median ductility ratio for Ground Motion Set JW0F02321 conditioned at Tcms = 2.321 s*

FIGURE 11 *– Median ductility ratio for Ground Motion Set JW0F05000 conditioned at Tcms = 5.000 s*

 Comparisons of the BEL and BEP systems are shown in Figures 9, 10, and 11 for conditioning periods of 0.834 s, 2.321 s, and 5.000 s respectively¹. The figures depict the median ductility ratio, $\tilde{\mu}$, for each set of ground motions over the range of R values considered. Systems with high lateral strength, low R values, have a local maximum occurring near T_{cms} for all models. The BEP systems generally exhibit a decreasing $\tilde{\mu}$ with increasing T_n , with any local maxima near T_{cms} . This trend was consistent for BEP systems for all R values. For BEL systems, $\tilde{\mu}$ is larger than for BEP systems due to reduced energy dissipation. This relative increase in $\tilde{\mu}$ is greater in the short and intermediate period range and less significant in the long period range. The BEL systems, shown in Figure 11, have local maxima occurring at periods less than the T_{cms} .

¹ A complete set of plots for all systems and ground motion sets can be found in Appendix III.

This trend is present in the SC systems as well, the results of which can be found in Appendix III.

Additionally, with increasing R values, the period at the local maximum in $\tilde{\mu}$ decreases. Upon closer inspection, the BEP systems have small deviations in similar locations to these local maxima, however, those exhibited by the SC and BEL systems are of greater significance. The magnitude of the maximums increases with increasing R value as well.

The maximum $\tilde{\mu}$ at T_n less than T_{cms} is of particular interest for ground motion selection, as it indicates uncertainty regarding the period that should be used for T_{cms} . Recall that linear SDOF systems will have responses similar to the CMS, with local maxima at T_{cms} . Nonlinear systems with small R values and low levels of nonlinear response can be expected to have their peak response for ground motions conditioned at T_n . With increasing nonlinearity, significant period elongation may cause a larger response to occur for ground motions conditioned at a period (T_{cms}) larger than T_n . Highly nonlinear structures, such as self-centering systems, will have greater response to input at longer periods. Noted earlier, multiple CMS should be constructed for the periods to which the structure is sensitive, and the results of the present study indicate for SC systems these periods are greater than T_n . The largest $\tilde{\mu}$ for the ground motion sets considered, or the absolute peak median ductility ratio at each period, $\tilde{\mu}_o$ can be expressed as:

$$
\tilde{\mu}_o = max(\tilde{\mu}_{(T_n)})
$$
\nEQUATION 10

Comparing $\tilde{\mu}$ for various systems subjected to different ground motion sets, it is evident that $\tilde{\mu}_o$ is usually caused by a ground motion set with T_{cms} that is greater than T_n . Comparisons of $\tilde{\mu}$ over the range of conditioning periods are shown in Figures 12 a, b,

and c for the BEP, SC-12, and BEL models respectively. The $\tilde{\mu}$ values shown are for models with $R = 6$.

FIGURE 12 *– Peak displacement ductility comparison (a) BEP (α=5%) , (b) SC (α=5%, β=12.5%), (c) BEL (α=5%)*

Consider an example system with $T_n = 1.797s$. For the BEP system, $\tilde{\mu}_o$ is caused by the ground motion set with $T_{cms} = T_n = 1.797$ s. For the comparable SC-12 system, $\tilde{\mu}_o$ is caused by the ground motion set with $T_{cms} = 2.997$ s, and not the set with $T_{cms} = T_n$. Similarly, for the BEL system, $\tilde{\mu}_o$ is caused by a ground motion set with T_{cms} greater than T_n . The $\tilde{\mu}_o$ for this system is caused by the set with $T_{cms} = 3.871$ s. Considering these results further, it is useful to determine the value of T_{cms} which causes $\tilde{\mu}_o$, defined as T_o , as follows:

$$
T_o = T_{cms}(\tilde{\mu}_o)
$$
 EQUATION 11

The importance of nonlinear response on the value of T_0 can be observed by plotting T_0 against the T_n . The relationship is shown for the ten values of T_{cms} for the BEL, SC-12,

FIGURE 13 – Peak conditioning period, T_o , versus intial natural period, T_n , for (a) BEL ($\alpha = 5\%$) *(b) SC (α = 5%, β = 12.5%) (c) SC (α = 5%, β = 25%) (d) BEP (α = 5%)*

SC-25, and BEP systems in Figures 13 a, b, c, and d respectively. The results shown in Figure 13 only include the first value of $T_0 = 5.0$ s for each series, as it was the upper limit of T_{cms} values considered in the study. The results indicate that the value of T_{cms} has a significant impact on the ductility demand of the system.

 The results shown in Figure 13 indicate an approximately linear relationship between T_0 and T_n , as demonstrated by the trendlines. An exception is seen for the BEP system, where the trend is less clear for $R = 10$, (Figure 13d) where the trendline has been omitted. Trendlines with a slope of unity indicate that using a ground motion set conditioned on $T_{cms} = T_n$ should give an appropriate estimate for the expected value of the ductility ratio for a given hazard level. Trendlines with slopes greater than unity indicate the extent to which T_{cms} for the ground motion set should exceed T_n to properly estimate the expected value of the ductility ratio for a given hazard level. The results shown in Figure 13 show that as R increases, for all systems, the slopes of the trendlines tend to increase. The exception is for the BEP system where a distinct trend for $R = 6, 8$, and 10, as R increases, is less clear for the current data set. The trendline for a BEP system with $R = 10$ has been omitted since the resulting data is seemingly random. Furthermore, for systems with $R = 2$, the slopes of the trendlines are approximately equal to unity indicating it is appropriate to use $T_{cms} = T_n$. For all systems with R > 2, the slope of the trendlines are greater than unity, and it is therefore not appropriate to use $T_{cms} = T_n$. As the energy dissipation ratio, β , decreases, T_{cms} should be increasingly larger than T_n , as shown by the steeper trendlines. The plots terminate at a T_o value of 5.0s, which is the largest T_{cms} considered in the present study.

As a specific example, consider a structure with $T_n = 2.0$ s. Based on the results in Figure 13, when selecting ground motions for a BEL or SC system it would be more

appropriate to construct a CMS and the corresponding ground motion set based on $T_{cms} \approx 3.0 \text{ s}$ for R = 4.0 and $T_{cms} \approx 5.0 \text{ s}$ for R = 10.0, rather than using $T_{cms} = T_n$.

In reviewing the data summarized in Figure 13 the relationship appears roughly linear, so the ratio of T_0 to T_n may be a convenient way to characterize the relationship. The ratio of T_0 to T_n is defined as λ_0 , and is written as follows:

$$
\lambda_o = \frac{T_o}{T_n}
$$
 EQUATION 12

The variation of λ_o is plotted versus R using a standard box and whisker plot in Figure 14 for the systems considered. The box and whisker plot highlights the median, upper quartile, lower quartile, and extreme values of each dataset. For BEL and SC systems, λ_o is relatively constant for a specified R value. Figure 14 shows the variability of λ_o for a given R of the SDOF system. The plots on the left show all conditioning periods; while the plots on the right include only up to the first T_n values where $T_0 = 5.0$ s due to the limited number of T_{cms} considered. The noticeable reduction in scatter, illustrated by the reduced space between the median and the quartile marks, between the two plots demonstrates the need for additional T_{cms} to be evaluated. Ultimately the results of the study indicate the greatest displacement ductility demand is usually caused by a ground motion set conditioned on a T_{cms} greater than T_n for structures with highly nonlinear behavior. T_o is shown to vary with lateral strength (R) and energy dissipation.

FIGURE 14 *–Variation in period ratio,* λ_o , *values by R value for (a) BEL (* $\alpha = 5\%$ *) (b) SC-12 (α = 5%, β = 12.5%) (c) SC-25 (α = 5%, β = 25%) (d) BEP (α = 5%)*

Conclusions

Self-centering (SC) systems are a class of lateral systems which aims to reduce post-earthquake damage in the form of residual drift. Due to the limited energy dissipation capacity inherent in these systems, SC systems may experience greater lateral displacements than conventional systems. The larger displacements may result in greater period elongation, which causes them to be more sensitive to ground motions selected and conditioned for a conditioning period, T_{cms} , longer than T_n . For this study, nonlinear response spectra for 25 different single-degree-of-freedom models were constructed over a range of 60 initial periods. Conditional Mean Spectra (CMS) were constructed for ten logarithmically spaced T_{cms} values. Twenty ground motions were selected and amplitude scaled to match each target CMS. A total of 5,000 nonlinear response spectra were calculated, with an additional 200 linear elastic response spectra.

The results of the study found that ground motion sets with T_{cms} greater than T_n produced the largest ductility demand. The value of T_{cms} resulting in the largest ductility demand for each value of T_n was denoted T_0 . The period elongation experienced by highly nonlinear structures creates sensitivity to ground motion sets conditioned at larger T_{cms} values. Based on the results of the study, the conditioning period ratio, $\lambda_o = \frac{T_o}{T_n}$, was found to be primarily dependent on lateral strength (R) and energy dissipation. The effects of post-yielding stiffness ratio, α , were not evaluated. The study showed that for systems with high lateral strength (R not greater than 2.0), λ_o is approximately equal to 1.0. λ_o increases with increasing R value and decreasing energy dissipation. For a given R value and energy dissipation ratio, λ_o appears to be relatively constant, and T_0 appears to be directly proportional to T_n . For SC systems with low R

values, T_o is approximately equal to T_n . Conventional BEP systems exhibited λ_o values close to 1.0 except at large R values.

There are limitations to the results of the current study. The CMS and the corresponding ground motion set, was developed for only ten values of T_{cms} ; the limitations of having only ten T_{cms} up to only 5.0s can be observed from Figure 13. With additional conditioning periods, especially at periods longer than 5.0s, a more complete relationships, between T_n , T_{cms} , and T_o could be observed over a wider range of periods.

Future work should further investigate the effects of T_{cms} on displacement ductility. CMS should be constructed for a greater number T_{cms} and corresponding ground motions sets should be compiled. Other single-degree-of-freedom systems should be analyzed for additional values of R and β ; as well as for the effects of postyielding stiffness α. The additional results could be used to develop a relationship for estimating the most appropriate T_{cms} for a given structure.

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Appendix I Site Hazard Disaggregation

Tn = 0.01 s

Tn = 0.02 s

Tn = 0.03 s

Tn = 0.04 s

Tn = 0.05 s

Tn = 0.07 s

Tn = 0.10 s

Tn = 0.15 s

Tn = 0.20 s

Tn = 0.25 s

Tn = 0.30 s

Tn = 0.40 s

Tn = 0.50 s

Tn = 0.75 s

Tn = 1.00 s

Tn = 1.50 s

Tn = 2.00 s

Tn = 3.00 s

Tn = 4.00 s

Tn = 5.00 s

Tn = 7.50 s

Tn = 10.00 s

Appendix II Ground Motion Sets

 $\frac{3}{T_n}$ [s]

 $\overline{4}$

 $\sqrt{2}$

 $\boldsymbol{0}$

 $\,0\,$

 $\mathbf 1$

l *For programming and data processing components labeled with cardinal direction were relabeled using numerical values with East corresponding to 000°. Where components are denoted by longitudinal or transverse, longitudinal was labeled as 000°.*

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Appendix III Analysis Results

Median Ductility Demand

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Appendix IV Vita

Jonathan Paul Williams was born in Summit, New Jersey on August 27, 1984 to parents Linda and Raymond Williams Jr. Jonathan grew up in Westfield, New Jersey and graduated from Westfield Senior High School in June of 2002.

Jonathan completed his undergraduate studies at the Pennsylvania State University where he received his Bachelor of Architectural Engineering degree with an emphasis in building structural systems, and an Architectural Studies minor. He graduated from the Pennsylvania State University in 2008.

Following graduation Jonathan worked on a independent design-build project with a local architect where he managed the project from conceptual design through construction. In 2009 he founded Latitude Design & Research Corporation which provides consulting and professional, structural and architectural engineering services. Jonathan is a registered professional engineer in the state of New Jersey.

While growing his business Jonathan began attending Lehigh University. He will receive his Master of Science in Structural Engineering degree in September of 2017.

